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The Effect of Ring Expansion in Thienobenzo[b]indacenodithiophene Polymers for Organic Field-Effect Transistors

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KEYWORDS: OFET, Mobility, IDT, TBIDT, STM, DFT.

ABSTRACT: A fused donor, thienobenzo[b]indacenodithiophene (TBIDT), was designed and synthesized using a novel acid-promoted cascade ring closure strategy, and copolymerized with a benzothiadiazole (BT) monomer. The backbone of TBIDT is an expansion of the well-known indacenodithiophene (IDT) unit and was expected to enhance the charge carrier mobility, by improving backbone planarity and facilitating short-contacts between polymer chains. However, the optimized field-effect transistors demonstrated an average saturation hole mobility of 0.9 cm² V⁻¹ s⁻¹, lower than the performance of IDT-BT (~1.5 cm² V⁻¹ s⁻¹). Mobilities extracted from time-resolved microwave conductivity (TRMC) measurements were consistent with the trend in hole mobilities in OFET devices. Scanning Tunneling Microscopy (STM) measurements and computational modelling illustrated that TBIDT-BT exhibits a less ordered microstructure in comparison to IDT-BT. This reveals that a regular side chain packing density, independent of conformational isomers, is critical to avoid local field due to irregular packing, which can host trapping impurities. DFT calculations indicated that TBIDT-BT, despite containing a larger, planar unit, showed lower stabilization of planar backbone geometries, in comparison to IDT-BT. This is due to the reduced electrostatic stabilizing interactions between the peripheral thiophene of the fused core with the BT unit, resulting in a reduction of the barrier to rotation around the single bond. These insights provide a greater understanding of the general structure-property relationships required for semiconducting polymer repeat units to ensure optimal backbone planarization, as illustrated with IDT-type units, guiding the design of novel semiconducting polymers with extended fused backbones for high-performance field-effect transistors.

INTRODUCTION

During the past decade, π-conjugated organic semiconductors have attracted substantial interest owing to advantages that they possess over their inorganic counterparts; these include their easily tunable optoelectronic and structural properties, lightweight nature, cost-effectiveness, mechanical robustness and the capability to fabricate large area devices. The charge carrier mobility of organic field-effect transistors (OFETs), which is the most crucial parameter that determines the characteristics in such devices, has increased from less than 0.1 cm² V⁻¹ s⁻¹ to exceed the benchmark of thin-film amorphous silicon devices (0.5–1 cm² V⁻¹ s⁻¹), up to > 3 cm² V⁻¹ s⁻¹ for both polymers and small molecules. In addition to developments in device architecture optimization, the structural design of organic semiconductors has become a key strategy to achieve excellent device performance. Polymers containing 4,9-dihydro-s-indaceno[1,2-b:5,6-b’]dithiophene (IDT), a promising molecular design motif, are characterized by low energetic disorder, excellent solution processability and exhibit high charge carrier mobility in p-type devices. The unique IDT unit has a fused rigid-ring backbone, with two sp³ bridging carbons, substituted with aliphatic chains to confer its solubility, as well as acting as a template for molecular packing. It was first demonstrated as a building block for high-performance OFET polymers by copolymerization with 2,1,3-benzothiadiazole (BT), to produce IDT-BT. This is an amorphous p-type polymer with a hole mobility of up to 3.6 cm² V⁻¹ s⁻¹. Despite its amorphous nature, IDT-BT possesses impressive p-type transport properties, owing to the high degree of backbone order along polymer chains. It has been suggested that the key interaction that imparts the backbone order upon IDT-BT is between the nitrogen of the BT group and the IDT hydrogen α to the IDT-BT linkage, via non-traditional hydrogen bonding, leading to a high energetic barrier to backbone torsion. Maintaining the thienyl-BT linkage in analogous OFET polymers is therefore a desirable strategy to encourage highly ordered polymer backbones in
IDT polymers. Expansion of the IDT core has previously been used, with the aim of increasing the short-contact interactions between polymer chains, in order to improve the charge carrier mobility. Thiienoindenofluorene (TIF) was copolymerized with BT, resulting in the polymer TIF-BT, and was able to achieve a hole mobility of 2.9 cm² V⁻¹ s⁻¹.15 Notably, it was suggested that the extended TIF core improved the inter-chain charge transport.314 Despite this, only a few examples have been reported that describe modifications of the IDT unit, owing mainly to the complex synthesis of extended fused-ring systems.

Scheme 1. The synthetic transformation of IDT to TBIDT

Herein, we have designed and reported the synthesis of a polymer comprising of a novel extended IDT unit, namely thiophenobenzoi[b]indacenodithiophene (TBIDT), which was formed using an acid-promoted cascade ring closure strategy (Scheme 1). By synthesizing the aldehyde precursor, a cyclization/dehydration cascade reaction was utilized to afford the desired TBIDT monomer. This novel synthetic strategy will prove to be useful in order to access other fused aromatic units for organic electronic applications. The TBIDT monomer was copolymerized with BT and the resulting polymer (TBIDT-BT) exhibited an average mobility of 0.9 cm² V⁻¹ s⁻¹ in OFET devices.

RESULTS AND DISCUSSION

Scheme 2. Synthetic route to afford TBIDT-BT

Scheme 2 shows the synthesis of the TBIDT-BT polymer. Firstly, it was necessary to synthesize the key intermediate (4) via four high yielding steps: the readily available 3-bromothiophene was selectively deprotonated at the 2 position and quenched by the addition of dimethyl formamide to produce 3-bromothiophene-2-carbaldehyde (compound 2), which was then reduced to (3-bromothiophen-2-yl)methanol (compound 3) by sodium borohydride. The trisopropylsilane group was found to be the best protecting group to protect the hydroxy group and afforded compound 4. It should be noted that other commonly used protecting groups, such as trimethylsilyl and triethylysilane, were shown to decompose during later steps of the TBIDT synthesis.

The next key intermediate to be prepared was the dialehyde-IDT (compound 7). Alkylation of the known compound 4,9-dihydro-s-indaceno[1,2-b:5,6-b]dithiophene (compound 5) afforded the IDT monomer with C16 alkyl chains (compound 6). Deprotonation of C16-IDT followed by quenching with dimethylformamide gave dialehyde-IDT (compound 7) in high yield.

Having successfully produced the key intermediates (4 and 7), the next step was to construct the cyclization precursor (11). This first involved the conversion of compound 4 to a nucelophile, which was carried out by a halogen exchange step using butyl lithium. The in-situ generated nucleophile attacked the dialehyde-IDT and afforded compound 8. To avoid the direct reaction of butyl lithium with compound 7, it was necessary to use slightly fewer equivalents of butyl lithium than of compound 4 and the halogen exchange step was completed before the addition of the dialehyde-IDT. In order to prepare compound 9, exhaustive screening and optimization of the reaction conditions was required. The combination of NaCNBH3 and ZnI2 was found to be the mildest condition for the reduction of the hydroxyl groups, in high yield, without affecting other functional groups. Deprotection of 9 using TBAF then afforded compound 10, which was then oxidized to compound 11 using Dess-Martin Periodinane in a high yield. Dess-Martin Periodinane provided the best oxidation for the transformation, while other stronger oxidants such as chromium oxide also oxidized the sp³ carbons, affording relatively low yields.

Having successfully synthesized the cyclization precursor 11, the final step in producing the desired TBIDT motif was a cyclization/dehydration of compound 11. Different acids were screened to promote the cascade cyclization/dehydration. Amberlyst-15 was found to be the most efficient reagent to afford the desired product in moderate yield, in comparison to other acids such as trifluoroacetic acid, acetic acid and p-toluene sulfonic acid. This versatile procedure offers great potential to be utilized for the synthesis of other novel bridged donor molecules.

Upon completion of the novel fused aromatic unit, stannyla­tion of TBIDT afforded the monomer 13. This was then co­polymerized with dibromo-BT using microwave-assisted Stille conditions to produce the donor-acceptor (D-A) copoly­mer TBIDT-BT. Variation of the polymerization process re­sulted in an optimum number average molecular weight, de­t ermined by gel permeation chromatography (GPC), of ~ 62 kDa (Mn) with a very narrow polydispersity index (PDI) of 1.4, after purification using Soxhlet extraction and GPC.

UV–Vis absorption spectra of the TBIDT-BT and its parent polymer IDT-BT are shown in Supplementary Information Figure S7. Both the polymers showed two maxima peaks, with a shoulder close to the second peak, which is the com-
mon dual-band absorption observed for many donor-acceptor type polymers. **TBIDT-BT** shows a significant blue-shift in the onset of its absorption and its absorption maximum, compared to **IDT-BT**. This indicates that the expansion of the backbone has resulted in an increase in the band gap of **TBIDT-BT**. The ionization potential (IP) of both polymers was measured by photoelectron spectroscopy in air (PESA) and the data shown in **Table 1**. The IP was found to be approximately -5.5 eV, which is slightly deeper than **IDT-BT** (-5.4 eV) due to the slightly lower electron density of the unit from a reduced thiophene ratio of aromatic groups. Conversely, the electron affinity (EA) of **TBIDT-BT** is less than **IDT-BT** due to the lower ratio of the electron withdrawing BT unit along the backbone.

### Table 1. Summary of the Properties of the Polymers

<table>
<thead>
<tr>
<th>Polymer</th>
<th>Mw/MarkDay</th>
<th>λmax (nm)</th>
<th>Eopt.gap (eV)</th>
<th>IP (eV)</th>
<th>EA (eV)</th>
<th>Eν,0 (meV)</th>
<th>μe (cm2 V⁻¹ s⁻¹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>IDT-BT</td>
<td>58.3/95.3</td>
<td>666</td>
<td>1.7</td>
<td>-5.4</td>
<td>-5.7</td>
<td>24</td>
<td>1.5</td>
</tr>
<tr>
<td>TBIDT-BT</td>
<td>62.1/87.4</td>
<td>999</td>
<td>1.9</td>
<td>-5.5</td>
<td>-5.6</td>
<td>40</td>
<td>0.9</td>
</tr>
</tbody>
</table>

- Solution absorption spectra (in chlorobenzene), Mw: molar mass averages of the number; MarkDay: molar mass averages of the weight.
- Solid state absorption spectra from pristine thin-film.
- Obtained from the onset value of absorption in pristine thin-film. Eopt.gap: The gap between IP and EA.
- Measured by Photo-Electron Spectroscopy in Air (PESA) system. IP: ionization potential.
- Calculated from Eopt.gap and IP. EA: electron affinity.
- Urbach Energy: Measured by Photothermal Deflection Spectroscopy.
- Mobility: Measured by bottom-contact top-gate (BC/TG) field effect transistors (cm² V⁻¹ s⁻¹).

The thermal properties of both **IDT-BT** and **TBIDT-BT** thin films were investigated using thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC), see supplementary information. Both polymers showed good thermal stability up to 400 °C, while the DSC showed no discernible thermal transitions at temperatures up to 300 °C. This is indicative of a low degree of ordering in the films. The observation of relatively disordered **IDT-BT** and **TBIDT-BT** thin-films is also consistent with the Atomic Force Microscopy (AFM) measurements and the Grazing Incidence Wide-Angle X-ray Scattering (GIWAXS) data of both polymers (**Figure 1**).

Tapping mode AFM was used to investigate the surface morphology of the polymer thin films (**Figure S9**). The surface roughness for the two polymers is comparable, with a root mean square roughness RMS = 0.486 nm and 0.655 nm extracted for **TBIDT-BT** and **IDT-BT**, respectively, consistent with a lack of crystalline texture. GIWAXS analysis was performed on as-cast films to provide insight into the thin film microstructure. Figure 1a and 1b show the two-dimensional GIWAXS patterns of **TBIDT-BT** and **IDT-BT**, respectively (see SI for the 1D lineouts taken along the out-of-plane and in-plane scattering directions). A broad π-π stacking peak is observed out-of-plane at a peak center position of q = 1.49 Å⁻¹ and q = 1.53 Å⁻¹ for **TBIDT-BT** and **IDT-BT**, respectively. This corresponds to π-π stacking distances of 4.22 Å and 4.10 Å for **TBIDT-BT** and **IDT-BT**, respectively. Both polymers show relatively weak crystalline ordering, consistent with their predominantly amorphous nature, **IDT-BT** shows an in-plane peak at around 0.4 Å⁻¹, corresponding to the backbone stacking, while **TBIDT-BT** has no trace of this peak. This indicates better ordering of **IDT-BT** and it is in line with the STM observation (**Figure 3**). The slightly shorter π-π stacking distances in **IDT-BT** also suggest that it is able to pack more efficiently than **TBIDT-BT**.

Next, we evaluated the electrical performance and charge transport properties of **TBIDT-BT** and **IDT-BT** by fabricating bottom-contact top-gate (BC/TG) field effect transistors (see SI). **Figure 2a** shows the representative transfer characteristics of **TBIDT-BT** OFETs with the linear and saturation characteristics scaling according to theory. Despite a delayed switching-on of the devices at -3V and a threshold voltage (Vth) of ~5V, they exhibit a steep sub-threshold swing and an on-off ratio of 10⁶. Also, the output characteristics of a typical device shown in **Figure 2b** do not present any pinching at lower gate- and drain-voltages suggesting that devices are not limited by poor injection. Subsequent extraction of the saturation charge carrier mobility of **TBIDT-BT** devices resulted in an average hole mobility of 0.9 cm² V⁻¹ s⁻¹ across 8 devices, compared to 1.5 cm² V⁻¹ s⁻¹ for **IDT-BT** measured in the same device architecture. It is well known that erroneous charge carrier mobility calculations, extracted from transistor current voltage characteristics, have at times led to an overestimate of mobility. All charge carrier mobilities exhibited a pronounced gate-voltage (Vg) dependence, with the highest values obtained only at Vg

![Figure 1](image-url). 2D GIWAXS patterns of a) **TBIDT-BT** thin film; b) **IDT-BT** thin film.
A similar gate voltage dependence has been observed also for other polymers in the IDT-polymer family, such as TIF-BT but not in IDT-BT. This independence of the charge carrier mobilities on the gate-voltage in IDT-BT has been associated to an exceptionally low degree of energetic disorder of the polymer backbone.

Photothermal deflection spectroscopy (PDS) was used to probe the energetic disorder of TBIDT-BT. It has previously been shown that the optically measured joint density of states (DOS), measured by PDS reflects accurately the electronic sub-bandgap traps and hence, charge transport properties. Figure 2d shows the measured PDS spectrum of TBIDT-BT and IDT-BT as well as a fit to the sub-bandgap tail used to extract the Urbach Energy. TBIDT-BT has a comparatively shallow sub-bandgap tail with an Urbach Energy of 40 meV, in comparison to the extremely low 24 meV extracted for IDT-BT. The higher energetic disorder of TBIDT-BT exceeds the thermal energy $k_B T$ ($k_B$, Boltzmann constant). Hence high carrier concentrations populate more mobile states in the density of states, this could be the reason for the observed gate-voltage dependence of the charge carrier mobility. We also observe an extended charge transfer feature in the sub-bandgap absorption of TBIDT-BT, a feature that has previously associated with interchain charge transfer states. This might also explain why the polymer despite its relatively large Urbach energy of 40 meV can still achieve respectable hole mobilities approaching $1 \text{cm}^2\text{V}^{-1}\text{s}^{-1}$.

Scanning Tunneling Microscopy (STM) measurements were performed under ultrahigh vacuum conditions on sub-monomolecular films of TBIDT-BT and IDT-BT deposited on a Au(111) surface by electrospray deposition (ESD), according to a recently developed methodology (see SI). Figure 3a shows a large scan STM image where individual TBIDT-BT polymer strands appear as worm-like chains with regularly spaced bright protrusions (occasional even brighter protrusion appear where polymer strands cross). The image demonstrates the absence of long-range order in the ESD films and the high flexibility of the polymers resulting in several high-curvature bends. On the local scale, the polymer strands tend, however, to align parallel to each other, as can be seen in the higher magnification image of Figure 3b. The polymer backbones are clearly recognized, demonstrating that the regular bright protrusions are actually double-dot features periodically separated by $2.70\pm0.3$ nm. This distance is very close to the length of the repeat unit of TBIDT-BT as calculated by DFT (2.57 nm). The alkyl side chains can also be identified as slightly less bright linear features oriented almost perpendicular to the backbone. By superposing a molecular model of TBIDT-BT on the STM images (Figure 3b), it becomes evident that the alkyl chains interdigitate in these closely packed regions. The model also allows the identification of the bright double-dot features as being related to the two non-planar $sp^3$ bridging carbons in the TBIDT unit (one on each side of the backbone) and the determination of the orientation of individual TBIDT units along the backbone.

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The analysis of a larger set of STM data for TBIDT-BT films (see S5 in the Supplementary Information) revealed that while the orientation of consecutive TBIDT units within a given backbone is essentially random, TBIDT units in adjacent locally parallel backbones generally have the same orientation as one another. This configuration maximizes van der Waals (vdW) contact between the side chains but is more difficult to achieve because it is statistically less frequent. Even in these more compact assemblies, nanovoids are observed in the alkyl chain regions in between polymer backbones (e.g. in the center of Figure 3b).

The STM image in Figure 3c shows that IDT-BT deposited on Au(111) has a similar appearance to TBIDT-BT when imaged on a large scale, with polymers strands looking as elongated chains, dotted with a regular sequence of bright protrusions. Also in this case we assign these latter to the two non-planar sp3 bridging carbons in the IDT units. The average distance between the bright protrusions is 1.6±0.1 nm, in excellent agreement with the theoretically predicted periodicity IDT-BT. In higher magnification images such as Figure 3d it is possible to resolve the alkyl side chains and to recognize that they interdigitate where the polymer backbones are locally oriented parallel to each other. At variance with the case of TBIDT-BT, these closely packed regions are much less defective for IDT-BT and do not present any nanovoids.

A simple molecular modelling demonstrates this effect and highlights the importance of the side-chain density for optimal polymer packing. Figure 4 compares the 2D assembly of TBIDT-BT and IDT-BT oligomers considering the case where the sidechain-bearing units of the oligomers are oriented identically to each other (left column in Figure 4) and the case where these units have a different orientation (right column). The orientation of the TBIDT and IDT subunits can be identified according to the red or purple color of the pairs of dots that have been superposed onto their two non-planar sp3 bridging carbons. It is evident that, for both polymers, the highest ordered assemblies are obtained when the sidechain-bearing units have the same orientation. In such cases the vdW contact is maximized throughout the assembly; this is also what has been often observed experimentally for TBIDT-BT, as shown in the Supplementary Information. However it should be noted that, because of its higher sidechain attachment density, IDT-BT is capable of efficient interdigitation also in cases where the sidechain-bearing units have different orientations (Figure 4d), managing to achieve an excellent overall vdW contact, which is seen also experimentally (Figure 3d). This ability is significantly reduced for TBIDT-BT (Figure 4b) which has a lower side-chain attachment density, resulting in some of the sidechains to be isolated and causing the formation of nanovoids even in the parallel orientation case (Figure 4a). This can lead to a number of problems in the packing of TBIDT-BT, in comparison to IDT-BT, such as a higher chance for possible assembly errors and a lower energy gain (via vdW contacts) per unit length. An additional issue of the nanovoids present in TBIDT-BT is the opportunity for the insertion of impurities, thereby disrupting the assembly, which can be seen in the STM images (Figure 3b and in more detail in Figure S6. While this model is strictly valid in 2D, similar considerations are expected to hold also for the 3D assembly of thin films made of these polymers. Both effects – lower energy gain from polymer assembly and formation of nanovoids – lead to a less ordered microstructure in TBIDT-BT than that observed for IDT-BT, which is likely to be detrimental to the performance of OFET devices based on such thin films, particularly if the free volume within the voids is occupied by species capable of trapping charge carriers, such as water.19

![Figure 4. Schematic modelling of the 2D assembly of TBIDT-BT, top row, and IDT-BT, bottom row, oligomers. The red or purple pairs of dots identify different orientations of the TBIDT and IDT subunits.](image-url)
Contributing factors to the differences in torsional PES were investigated by comparing atomic charges on each of the atoms contributing to the non-covalent interactions. Mulliken charges from each of the restrained geometry relaxations were extracted for the sulfur and proton of the IDT/TBIDT group, and the nitrogen and proton of the BT group (Figure 6a). These were seen to vary with dihedral angle as the electronic structure of the system changes due to increased conjugation and to the changing of interatomic distances. The main difference between TBIDT and IDT groups is the partial charge on sulfur, which is less positive in TBIDT owing to the more electron rich nature, and greater delocalization, of the extended TBIDT group. The positive charge on sulfur is in part due to the delocalization of its lone pair that gives thiophene its aromaticity. From natural bond orbital (NBO) calculations, discussed in more detail below, this delocalization is weaker in the case of TBIDT compared to IDT (see Figure S8). The more localized sulfur lone pair in TBIDT results in a smaller sulfur positive charge. This influences the mostly electrostatic non-covalent interactions during rotation. At \( \phi^0 \), the attractive \( S^\delta^+ - N^\delta^- \) interaction is weaker for TBIDT than IDT, while the \( H^\delta^+ - H^\delta^- \) interaction might be marginally more repulsive. At \( 180^\circ \), the repulsive \( S^\delta^+ - H^\delta^- \) interaction decreases in TBIDT due to less positive charge on sulfur, while the stabilizing force between \( N^\delta^- \) and \( H^\delta^+ \) remains comparable to that of IDT. These observations may help to explain why TBIDT has a greater preference for the \( 180^\circ \) conformer than the \( \phi^0 \) conformer.

The differences in resonance stabilization between IDT and TBIDT can be elucidated from the electron delocalization in NBO calculations. These calculations partition electron density into different types of orbitals based on bonding, anti-bonding, and lone pairs on each atom. Resonance stabilization energies for the delocalization of electrons of the \( \pi \) bond at the end of the IDT group into the \( \pi^* \) antibonding orbital of the adjacent BT group are plotted in Figure 6b. At \( 90^\circ \), there is no orbital overlap and so the resonance stabilization is zero. Upon rotation in either direction, the \( \pi \) and \( \pi^* \) orbitals start to overlap, resulting in increasing stabilization. Rotating to the \( 180^\circ \) conformer results in more efficient orbital overlap than rotating to \( \phi^0 \), due to the trans relationship between the orbitals. There is a greater stabilization in IDT than in TBIDT of up to 2.5 kcal/mol, resulting in the lower energetic minima in the torsional PES in Figure 5.

Time-resolved microwave conductivity (TRMC) provides insight into the carrier mobilities measured at the microwave frequency of \(~9\ GHz\). Thin films of the two polymers were spin-coated onto quartz substrates and excited using a pulsed laser to generate microwave transients, as shown in Figure 7b with both exhibiting very similar transient profiles and average carrier lifetimes extracted from bi-exponential fits (dashed lines) of the data. The corresponding normalized transient data recorded over 500 ns with average carrier lifetimes extracted from bi-exponential fits (dashed lines) of the data.
should be noted that the mobilities implied by the microwave data are local mobilities and not transport mobilities.

CONCLUSIONS

In summary, we have designed and synthesised a novel TBIDT moiety with linear alkyl chain substitution, using a novel acid promoted cascade cyclization strategy, which could be used in the preparation of high-mobility transistor materials with rigid backbones. Upon copolymerization of TBIDT with benzothiadiazole, the polymer TBIDT-BT was prepared. Surprisingly, the energetic disorder of this large ring polymer was higher than the benchmark IDT-BT. On further evaluation of the polymer microstructure, defects in the side chain packing assembly were observed by high resolution STM, arising from conformational asymmetry in the side chain distribution and an irregular interdigitated packing density. Consequently, the lower side-chain density of TBIDT-BT, in comparison to IDT-BT, leads to fewer vdW contacts and the presence of nanovoids, thereby disrupting its packing and resulting in less-ordered thin-films. Moreover, torsional PES and atomic charge calculations further highlighted a further potential cause for lower polymer backbone order in TBIDT-BT, owing to the lower energetic barriers to rotation about the TBIDT-BT bond. BC/TG field-effect transistors fabricated from TBIDT-BT yielded a p-type mobility of 0.9 cm$^2$/V$\cdot$s$^{-1}$, which is lower than that of IDT-BT (1.5 cm$^2$/V$\cdot$s$^{-1}$). These results demonstrate that the regularity of side chain density, independent of conformational differences along the backbone, as well as the optimization of noncovalent interactions for backbone planarity are both critical to achieve high charge carrier mobility. These findings provide new insight into the fundamental requirements to design high charge carrier mobility semiconducting polymers.

ASSOCIATED CONTENT

This material is available free of charge via the Internet at http://pubs.acs.org.* Experimental details, synthesis of polymers, device fabrication, and detailed experimental procedures.

AUTHOR INFORMATION

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REFERENCES
Benzothiadiazole Copolymer.


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